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PATENT APPLICATION
Attorney Docket No. SUN-P4061-JTF

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE PATENT APPLICATION OF

William H. Connor

Serial No. 09/489,464

Filing Date: January 21, 2000

For: METHOD AND APPARATUS FOR
CONCURRENCY CONTROL IN A POLICY-
BASED MANAGEMENT SYSTEM

) Examiner: Wang, Mary Da Zhi

) Group Art Unit: 3621

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AMENDMENT TRANSMITTAL LETTER

Box Non-Fee Amendment
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In connection with the above-referenced U. S. patent application, transmitted herewith are the following papers:

- Response under 37 C.F.R. § 1.111 to official action mailed May 2, 2002.
- A petition for extension of time is also enclosed with a fee of \$0.00 for a one-month extension for a small entity.
- Terminal disclaimer under 37 C.F.R. § 1.321(c), including
 - check for \$110.00 fee under 37 C.F.R. § 1.20(d), and
 - 2 certificates under 37 C.F.R. § 3.73(b).
- Information disclosure statement, form 1449 and ___ references.
- No additional claims fees are required.



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[] An additional fee is required, and is calculated as shown below:

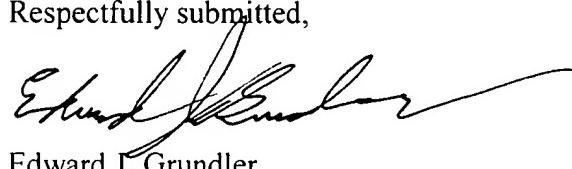
AMENDED CLAIMS					
	NO. OF CLAIMS	HIGHEST NO. OF CLAIMS PREVIOUSLY PAID FOR	EXTRA CLAIMS	RATE	ADDTL FEE
Total Claims		MINUS = 20	0	x \$18 =	
Independent Claims		MINUS = 3	0	x \$84 =	
If Amendment adds multiple dependent claims, add \$260.00					
Total Amendment Fee					
If small entity status is claimed, subtract 50% of Total Amendment Fee					
TOTAL ADDITIONAL FEE DUE FOR THIS AMENDMENT					\$0.00

- [] A check in the amount of \$____ is enclosed.
[] Charge \$____ to Deposit Account No. ____ (Docket No. ____).
 Please deduct any underpayments, credit any overpayments, and charge all required extension of time fees to Deposit Account Number 50-1003. (Docket No. SUN-P4061-PJM).

Edward J. Grundler
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Davis, CA 95616
Tel: (530) 759-1663
FAX: (530) 759-1665

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Respectfully submitted,
By



Edward J. Grundler
Registration No. 47,615

Date: July 18, 2002



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PATENT APPLICATION

Attorney Docket No. SUN-P4061-JTF

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IN RE PATENT APPLICATION OF

)
Examiner: Wang, Mary Da Zhi

William H. Connor

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Group Art Unit: 3621

Serial No. 09/489,464

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Filing Date: January 21, 2000

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Title: METHOD AND APPARATUS FOR
CONCURRENCY CONTROL IN A POLICY-
BASED MANAGEMENT SYSTEM

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RESPONSE TO OFFICIAL ACTION UNDER 37 C.F.R. § 1.111

Box Non-Fee Amendment
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In response to the Official Action mailed May 2, 2002, please consider the following amendments and remarks.